

REPRESENTATIONS OF SURFACE GROUPS WITH FINITE MAPPING CLASS GROUP ORBITS

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ABSTRACT. Let $(S, *)$ be a closed oriented surface with a marked point, let G be a fixed group, and let $\rho: \pi_1(S) \rightarrow G$ be a representation such that the orbit of ρ under the action of the mapping class group $\text{Mod}(S, *)$ is finite. We prove that the image of ρ is finite. A similar result holds if $\pi_1(S)$ is replaced by the free group F_n on $n \geq 2$ generators and where $\text{Mod}(S, *)$ is replaced by $\text{Aut}(F_n)$. We thus resolve a well-known question of M. Kisin. We show that if G is a linear algebraic group and if the representation variety of $\pi_1(S)$ is replaced by the character variety, then there are infinite image representations which are fixed by the whole mapping class group.

1. INTRODUCTION

Let G and Γ be groups, and let

$$\mathcal{R}(\Gamma, G) := \text{Hom}(\Gamma, G)$$

be the *representation variety* of Γ . The automorphism group $\text{Aut}(\Gamma)$ acts on $\mathcal{R}(\Gamma, G)$ by precomposition.

Let $\Gamma = \pi_1(S)$, where S is a closed, orientable surface of genus at least two with a base-point $*$. The Dehn–Nielsen–Baer Theorem (see [FM]) implies that the mapping class group $\text{Mod}(S, *)$ of S which preserves $*$ is identified with an index two subgroup of $\text{Aut}(\Gamma)$. In this note, we show that if $\rho \in \mathcal{R}(\pi_1(S), G)$ has a finite $\text{Mod}(S, *)$ -orbit, then the image of ρ is finite, thus resolving a well-known question which is often attributed to M. Kisin. We show that the same conclusion holds if $\pi_1(S)$ is replaced by a free group F_n of finite rank $n \geq 2$, and $\text{Mod}(S, *)$ is replaced by $\text{Aut}(F_n)$.

1.1. Main results. In the sequel, we assume that S is a closed, orientable surface of genus $g \geq 2$ and that F_n is a free group of rank at least two, unless otherwise stated explicitly.

Theorem 1.1. *Let $\Gamma = \pi_1(S)$ or F_n , and let G be an arbitrary group. Suppose that $\rho \in \mathcal{R}(\Gamma, G)$ has a finite orbit under the action of $\text{Aut}(\Gamma)$. Then $\rho(\Gamma)$ is finite.*

Note that if $\Gamma = \pi_1(S)$ then ρ has a finite orbit under $\text{Aut}(\Gamma)$ if and only if it has a finite orbit under $\text{Mod}(S, *)$ because $\text{Mod}(S, *)$ is a subgroup of $\text{Aut}(\Gamma)$ of finite index. Note also that if the homomorphism ρ has a finite image then the orbit of ρ for the action of $\text{Aut}(\Gamma)$ on $\mathcal{R}(\Gamma, G)$ is finite, because Γ is finitely generated.

We will show by example that Theorem 1.1 fails for a general group Γ . Moreover, Theorem 1.1 fails if Γ is a linear algebraic group with the representation variety of Γ being replaced by the character variety $\text{Hom}(\Gamma, G)/G$:

Proposition 1.2. *Let $\Gamma = \pi_1(S)$ and let*

$$\mathcal{X}(\Gamma, \text{GL}_n(\mathbb{C})) := \text{Hom}(\Gamma, \text{GL}_n(\mathbb{C})) // \text{GL}_n(\mathbb{C})$$

*be its $\text{GL}_n(\mathbb{C})$ character variety. For $n \gg 0$, there exists a point $\chi \in \mathcal{X}(\Gamma, \text{GL}_n(\mathbb{C}))$ such that χ is the character of a representation with infinite image while the action of $\text{Mod}(S, *)$ on $\mathcal{X}(\Gamma, \text{GL}_n(\mathbb{C}))$ fixes χ .*

1.2. Punctured surfaces. If S is not closed then $\pi_1(S)$ is a free group, and the group $\text{Mod}(S, *)$ is identified with a subgroup of $\text{Aut}(\pi_1(S))$, though this subgroup does not have finite index. The conclusion of Theorem 1.1 remains valid if S is a once-punctured surface of genus $g \geq 1$ and $\text{Aut}(\pi_1(S))$ is replaced by $\text{Mod}(S, *)$, though it fails for general punctured surfaces. See Proposition 4.2.

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2. $\text{Aut}(\Gamma)$ -INVARIANT REPRESENTATIONS

We first address the question in the special case where the $\text{Aut}(\Gamma)$ -orbit of $\rho : \Gamma \rightarrow G$ on $\mathcal{R}(\Gamma, G)$ consists of a single point.

Lemma 2.1. *Let Γ be any group, and suppose that $\rho \in \mathcal{R}(\Gamma, G)$ is $\text{Aut}(\Gamma)$ -invariant. Then $\rho(\Gamma)$ is abelian.*

Proof. Since $\rho \in \mathcal{R}(\Gamma, G)$ is invariant under the normal subgroup $\text{Inn}(\Gamma) < \text{Aut}(\Gamma)$ consisting of inner automorphisms,

$$\rho(ghg^{-1}) = \rho(h)$$

for all $g, h \in G$. Hence we have $\rho(g)\rho(h) = \rho(h)\rho(g)$. \square

Lemma 2.2. *Let $\Gamma = \pi_1(S)$ or $\Gamma = F_n$, and let $\rho : \Gamma \rightarrow G$ be $\text{Aut}(\Gamma)$ -invariant. Then $\rho(\Gamma)$ is trivial.*

Proof. Without loss of generality, assume that $\rho(\Gamma) = G$. By Lemma 2.1, the group $\rho(\Gamma)$ is abelian. Hence ρ factors as

$$\rho = \rho^{ab} \circ A, \tag{1}$$

where

$$A : \Gamma \rightarrow \Gamma/[\Gamma, \Gamma] = H_1(\Gamma, \mathbb{Z})$$

is the abelianization map, and $\rho^{ab} : H_1(\Gamma, \mathbb{Z}) \rightarrow G$ is the induced representation of $H_1(\Gamma, \mathbb{Z})$. Let g be the genus of S , so that the rank of $H_1(\Gamma, \mathbb{Z})$ is $2g$. Fix a symplectic basis

$$\{a_1, \dots, a_g, b_1, \dots, b_g\}$$

of $H_1(\Gamma, \mathbb{Z})$. So the group of automorphisms of $H_1(\Gamma, \mathbb{Z})$ preserving the cap product is identified with $\text{Sp}_{2g}(\mathbb{Z})$.

Suppose that $\rho(\Gamma)$ is not trivial. Then there exists $z \in H_1(\Gamma, \mathbb{Z})$ such that $\rho^{ab}(z)$ is not trivial. Consider the action of $\text{Sp}_{2g}(\mathbb{Z})$ on $H_1(\Gamma, \mathbb{Z})$, induced by the action of the mapping class group $\text{Mod}(S, *)$ on $H_1(\Gamma, \mathbb{Z})$. There is an element of $\text{Sp}_{2g}(\mathbb{Z})$ taking a_i to $a_i + b_i$. Therefore, from the given condition that the action of $\text{Mod}(S, *)$ on ρ has a trivial orbit it follows that $\rho^{ab}(a_i) = \rho^{ab}(a_i + b_i)$, and hence $\rho^{ab}(b_i) = 0$. Exchanging the roles of a_i and of b_i , we have $\rho^{ab}(a_i) = 0$. Thus ρ^{ab} is a trivial representation, and hence ρ is also trivial by (1).

A similar argument works if we set $\Gamma = F_n$. Instead of $\text{Sp}_{2g}(\mathbb{Z})$, we have an action of $\text{GL}_n(\mathbb{Z})$ on $H_1(\Gamma, \mathbb{Z})$ after choosing a basis $\{a_1, \dots, a_n\}$ for $H_1(\Gamma, \mathbb{Z})$. Then for each $1 \leq j \leq n$ and $i \neq j$, there exist an element of $\text{GL}_n(\mathbb{Z})$ that takes a_i to $a_i + a_j$. This implies that $\rho^{ab}(a_j) = 0$ as before. \square

There is an immediate generalization of Lemma 2.2 whose proof is identical to the one given:

Lemma 2.3. *Let Γ be group, let*

$$H_1(\Gamma, \mathbb{Z})_{\text{Out}(\Gamma)} = H_1(\Gamma, \mathbb{Z}) / \langle \phi(v) - v \mid v \in H_1(\Gamma, \mathbb{Z}) \text{ and } \phi \in \text{Out}(\Gamma) \rangle$$

be the module of co-invariants of the $\text{Out}(\Gamma)$ action on $H_1(\Gamma, \mathbb{Z})$, and let $\rho \in \mathcal{R}(\Gamma, G)$ be an $\text{Aut}(\Gamma)$ -invariant representation of Γ . If $H_1(\Gamma, \mathbb{Z})_{\text{Out}(\Gamma)} = 0$ then $\rho(\Gamma)$ is trivial. If $H_1(\Gamma, \mathbb{Z})_{\text{Out}(\Gamma)}$ is finite then $\rho(\Gamma)$ is finite as well.

Corollary 2.4. *Let Γ be a closed surface group or a finitely generated free group, and let $H < \text{Aut}(\Gamma)$ be a finite index subgroup. Then the module of H -co-invariants for $H_1(\Gamma, \mathbb{Z})$ is finite.*

Proof. Since $H < \text{Aut}(\Gamma)$ has finite index, there exists an integer N such that for each $\phi \in \text{Aut}(\Gamma)$, we have $\phi^N \in H$. In particular, the N^{th} powers of the transvections occurring in the proof of Lemma 2.2 lie in H , whence the N^{th} powers of elements of a basis for $H_1(\Gamma, \mathbb{Z})$ must be trivial. Consequently, the module of H -co-invariants is finite. \square

3. REPRESENTATIONS WITH A FINITE ORBIT

3.1. Central extensions of finite groups.

Lemma 3.1. *Let Γ be any group, and let $\rho: \Gamma \rightarrow G$ be a representation. Suppose that the orbit of ρ under the action of $\text{Aut}(\Gamma)$ on $\mathcal{R}(\Gamma, G)$ is finite. Then $\rho(\Gamma)$ is a central extension of a finite group.*

Proof. The given condition implies that for the action of $\text{Inn}(\Gamma)$ on $\mathcal{R}(\Gamma, G)$, the orbit of ρ is finite. Consequently, there exists a finite index subgroup Γ_1 of Γ that fixes ρ under the inner action. Hence by the argument in Lemma 2.1, the group $\rho(\Gamma_1)$ commutes with $\rho(\Gamma)$, so the center of $\rho(\Gamma)$ contains $\rho(\Gamma_1)$. Since Γ_1 is of finite index in Γ , the result follows. \square

Lemma 3.2. *Let ρ be as in Lemma 3.1 and let $H = \text{Stab}(\rho) < \text{Aut}(\Gamma)$ be the stabilizer of ρ . Then the center of $\rho(\Gamma)$ is equal to its module of co-invariants under the H -action.*

Proof. This is immediate, since $\rho(\Gamma)$ is invariant under the action of H . Thus, if z lies in the center of $\rho(\Gamma)$ then $\phi(z) = z$ for all $\phi \in H$. In particular, the subgroup of the center of $\rho(\Gamma)$ generated by elements of the form $\phi(z) - z$ is trivial. \square

3.2. Homology of finite index subgroups. Let Γ be a finitely generated group, and let $\rho \in \mathcal{R}(\Gamma, G)$ be a representation whose orbit under the action of $\text{Aut}(\Gamma)$ is finite. By Lemma 3.1, we have that $\rho(\Gamma)$ fits into a central extension:

$$1 \rightarrow Z \rightarrow \rho(\Gamma) \rightarrow F \rightarrow 1,$$

where F is a finite group and Z is a finitely generated torsion-free abelian group lying in the center of $\rho(\Gamma)$.

Consider the group $N = \rho^{-1}(Z) < \Gamma$. This is a finite index subgroup of Γ , since Z has finite index in $\rho(\Gamma)$. By replacing N by a further finite index subgroup of Γ if necessary, we may assume that N is characteristic in Γ and hence N is invariant under automorphisms of Γ .

Since Z is an abelian group, we have that the restriction of ρ to N factors through the abelianization $H_1(N, \mathbb{Z})$. As before, we write $\rho^{ab}: H_1(N, \mathbb{Z}) \rightarrow Z$ for the corresponding map, and we write $Q = \Gamma/N$. The group Γ acts by conjugation on N and on $H_1(N, \mathbb{Z})$, and on Z via ρ , thus turning both $H_1(N, \mathbb{Z})$ and Z into $\mathbb{Z}[\Gamma]$ -modules. Observe that the Γ -action on $H_1(N, \mathbb{Z})$ turns this group into a $\mathbb{Z}[Q]$ -module, and that the $\mathbb{Z}[\Gamma]$ -module structure on Z is trivial. Note that the map ρ^{ab} is a homomorphism of $\mathbb{Z}[\Gamma]$ -modules. Summarizing the previous discussion, we have that following diagram commutes Γ -equivariantly:

$$\begin{array}{ccc} N & \xrightarrow{A} & H_1(N, \mathbb{Z}) \\ \downarrow \rho & \swarrow \rho^{ab} & \\ Z & & \end{array}$$

3.3. Chevalley–Weil Theory. Let Γ be a group, and let $N < \Gamma$ be a finite index normal subgroup with quotient group

$$Q := \Gamma/N. \tag{2}$$

When Γ is a closed surface group or a finitely generated free group, it is possible to describe $H_1(N, \mathbb{Q})$ as a $\mathbb{Q}[Q]$ -module. We address closed surface groups first:

Theorem 3.3 (Chevalley–Weil Theory for surface groups, [CW], see also [GLLM, Ko]). *Let $S = S_g$ be a closed surface of genus g , and let $\Gamma = \pi_1(S)$. Then there is an isomorphism of $\mathbb{Q}[Q]$ -modules (defined in (2))*

$$H_1(N, \mathbb{Q}) \xrightarrow{\sim} \rho_{reg}^{2g-2} \oplus \rho_0^2,$$

where ρ_{reg} is the regular representation of Q and ρ_0 is the trivial representation of Q . Moreover, the invariant subspace of $H_1(N, \mathbb{Q})$ is $\text{Aut}(\Gamma)$ -equivariantly isomorphic to $H_1(\Gamma, \mathbb{Q})$ via the transfer map.

The corresponding statement for finitely generated free groups was also observed by Gaschütz, and is identical to the statement for surface groups, *mutatis mutandis*:

Theorem 3.4 (Chevalley–Weil Theory for free groups, [CW], see also [GLLM, Ko]). *Let $\Gamma = F_n$ be a free group of rank n . Then there is an isomorphism of $\mathbb{Q}[Q]$ -modules*

$$H_1(N, \mathbb{Q}) \xrightarrow{\sim} \rho_{reg}^{n-1} \oplus \rho_0,$$

where ρ_{reg} is the regular representation of Q and ρ_0 is the trivial representation of Q . Moreover, the invariant subspace of $H_1(N, \mathbb{Q})$ is $\text{Aut}(\Gamma)$ -equivariantly isomorphic to $H_1(\Gamma, \mathbb{Q})$ via the transfer map.

Tensoring with \mathbb{Q} , we have a map

$$\rho^{ab} \otimes \mathbb{Q}: H_1(N, \mathbb{Q}) \longrightarrow Z \otimes \mathbb{Q}$$

which is a homomorphism of $\mathbb{Q}[\Gamma]$ -modules since the natural map $\rho^{ab}: H_1(N, \mathbb{Z}) \longrightarrow Z$ is Γ -equivariant. We decompose $H_1(N, \mathbb{Q}) = V_0 \oplus (\oplus_{\chi} V_{\chi})$ according to its structure as a $\mathbb{Q}[\Gamma]$ -module, where V_0 is the invariant subspace and χ ranges over nontrivial irreducible characters of Q .

Note that since N is characteristic in Γ , the group $\text{Aut}(\Gamma)$ acts on $H_1(N, \mathbb{Q})$ and this action preserves V_0 . Moreover, Theorems 3.3 and 3.4 imply that the $\text{Aut}(\Gamma)$ -action on V_0 is canonically isomorphic to the $\text{Aut}(\Gamma)$ action on $H_1(\Gamma, \mathbb{Q})$, by the naturality of the transfer map.

We are now ready to prove the main result of this note:

Proof of Theorem 1.1. By the discussion above, it suffices to prove that the group Z is finite, or equivalently that the vector space $Z \otimes \mathbb{Q}$ is trivial.

Considering the image of each irreducible representation V_{χ} under $\rho^{ab} \otimes \mathbb{Q}$, from Schur's Lemma it is deduced that either V_{χ} is in the kernel of $\rho^{ab} \otimes \mathbb{Q}$ or it is mapped isomorphically onto its image. Since $\rho^{ab} \otimes \mathbb{Q}$ is a $\mathbb{Q}[\Gamma]$ -module homomorphism and since $Z \otimes \mathbb{Q}$ is a trivial $\mathbb{Q}[\Gamma]$ -module, we have that $V_{\chi} \subset \ker \rho^{ab} \otimes \mathbb{Q}$ whenever χ is a nontrivial irreducible character of Q . It follows that $Z \otimes \mathbb{Q}$ is a quotient of V_0 .

Since the $\text{Aut}(\Gamma)$ -actions on $H_1(\Gamma, \mathbb{Z})$ and on V_0 are isomorphic, Corollary 2.4 implies that the module of rational H -co-invariants for V_0 is trivial for any finite index subgroup $H < \text{Aut}(\Gamma)$, meaning

$$V_0 / \langle \phi(v) - v \mid v \in V_0 \text{ and } \phi \in H \rangle = 0.$$

Let $H = \text{Stab}(\rho) < \text{Aut}(\Gamma)$ be the stabilizer of ρ , which has finite index in $\text{Aut}(\Gamma)$ by assumption. Since ρ is H -invariant, we have that $Z \otimes \mathbb{Q}$ is also H -invariant. Let $v \in V_0$ be an element which does not lie in the kernel of $\rho^{ab} \otimes \mathbb{Q}$. Since the module of H -co-invariants of V_0 is trivial, we have that

$$v_0 = \sum_{i=1}^k a_i (\phi(v_i) - v_i)$$

for suitable vectors $(v_1, \dots, v_k) \in V_0^k$, rational numbers $(a_1, \dots, a_k) \in \mathbb{Q}^k$, and automorphisms $(\phi_1, \dots, \phi_k) \in H^k$. Applying $\rho^{ab} \otimes \mathbb{Q}$, we have

$$(\rho^{ab} \otimes \mathbb{Q})(v_0) = \sum_{i=1}^k a_i \cdot (\rho^{ab} \otimes \mathbb{Q})(\phi(v_i) - v_i).$$

Since ρ and Z are both H -invariant, we have that $(\rho^{ab} \otimes \mathbb{Q})(\phi(v_i) - v_i) = 0$, whence $(\rho^{ab} \otimes \mathbb{Q})(v_0) = 0$. Thus, $v_0 \in \ker \rho^{ab} \otimes \mathbb{Q}$, and consequently $Z \otimes \mathbb{Q} = 0$. \square

4. COUNTEREXAMPLES FOR GENERAL GROUPS

It is not difficult to see that Theorem 1.1 is false for general groups. We have the following easy proposition:

Proposition 4.1. *Let Γ be a finitely generated group such that Γ surjects to \mathbb{Z} and such that $\text{Out}(\Gamma)$ is finite. Then there exists a group G and a representation $\rho \in \mathcal{R}(\Gamma, G)$ such that ρ has infinite image and such that the $\text{Aut}(\Gamma)$ -orbit of ρ is finite.*

Proof. Set

$$G = \Gamma^{ab}$$

and let ρ be the abelianization map. Since $\text{Out}(\Gamma)$ is finite, we have that $\text{Aut}(\Gamma)$ induces only finitely many distinct automorphisms of G , and hence ρ has a finite orbit under the $\text{Aut}(\Gamma)$ action on $\rho \in \mathcal{R}(\Gamma, G)$. \square

It is easy to see that Proposition 4.1 generalizes to the case where ρ has infinite abelian image with G being an arbitrary group.

There are many natural classes of groups which satisfy the hypotheses of Proposition 4.1. For instance, one can take a cusped finite volume hyperbolic 3-manifold or a closed hyperbolic 3-manifold with positive first Betti number; every closed hyperbolic 3-manifold has such a finite cover by the work of Agol [Ag]. The fundamental groups of these manifolds are finitely generated with infinite abelianization, and by Mostow Rigidity, their groups of outer automorphisms are finite.

Another natural class of groups satisfying the hypotheses of Proposition 4.1 is the class of random right-angled Artin groups, in the sense of Charney–Farber [CF]. Every right-angled Artin group has infinite abelianization, though many have infinite groups of outer automorphisms. Certain graph theoretic conditions which are satisfied by finite graphs in a suitable random model guarantee that the outer automorphism group is finite, however. An explicit right-angled Artin group with a finite group of outer automorphisms is the right-angled Artin group on the pentagon graph.

Let D_n denote the disk with n punctures. The mapping class group $\text{Mod}(D_n, \partial D_n)$ is identified with the braid group B_n on n strands, and naturally sits inside of $\text{Aut}(F_n) = \text{Aut}(\pi_1(D_n))$. The following easy proposition illustrates another failure of Theorem 1.1 to generalize:

Proposition 4.2. *Let G be a group which contains an element of infinite order. Then there exists an infinite image representation $\rho \in \mathcal{R}(F_n, G)$ which is fixed by the action of $B_n < \text{Aut}(F_n)$.*

Proof. Small loops about the punctures of D_n can be connected to a base-point on the boundary of D_n in order to obtain a free basis for $\pi_1(D_n)$. Since the braid group consists of isotopy classes of homeomorphisms of D_n , we have that B_n acts on the homology classes of these loops by permuting them. Therefore, we may let ρ be the homomorphism $F_n \rightarrow \mathbb{Z}$ obtained by taking the exponent sum of a word in the chosen free basis for $\pi_1(D_n)$, and then sending a generator for \mathbb{Z} to an infinite order element of G . It is clear from this construction that ρ is B_n -invariant and has infinite image. \square

5. CHARACTER VARIETIES

In this section we prove Proposition 1.2, which relies on one of the results in [KS]. Recall that if S is an orientable surface with negative Euler characteristic then the Birman Exact Sequence furnishes a normal copy of $\pi_1(S)$ inside of the pointed mapping class group $\text{Mod}(S, *)$ (see [Bi, FM]).

Theorem 5.1 (cf. [KS], Corollary 4.3). *There exists a linear representation $\rho : \text{Mod}(S, *) \rightarrow \text{PGL}_n(\mathbb{C})$ such that the restriction of ρ to $\pi_1(S)$ has infinite image.*

We remark that in Theorem 5.1, it can be arranged for the image of $\pi_1(S)$ under ρ to have a free group in its image, as discussed in [KS]. Theorem 5.1 implies Proposition 1.2 without much difficulty.

Proof of Proposition 1.2. Let a representation $\sigma : \text{Mod}(S, *) \rightarrow \text{PGL}_n(\mathbb{C})$ be given as in Theorem 5.1. Choose an arbitrary embedding of $\text{PGL}_n(\mathbb{C})$ into $\text{GL}_m(\mathbb{C})$ for some $m \geq n$, and let ρ be the corresponding representation of $\text{Mod}(S, *)$ obtained by composing σ with the embedding. We will write χ for its character, and we claim that this χ satisfies the conclusions of the proposition.

That χ corresponds to a representation of $\pi_1(S)$ with infinite image is immediate from the construction. Note that χ is actually the character of a representation of $\text{Mod}(S, *)$, and that $\text{Inn}(\text{Mod}(S, *))$ acts on $X(\text{Mod}(S, *))$ trivially. It follows that $\text{Inn}(\text{Mod}(S, *))$ fixes χ even when χ is viewed as a character of $\pi_1(S)$, since

$$\pi_1(S) < \text{Mod}(S, *)$$

is normal. The conjugation action of $\text{Mod}(S, *)$ on $\pi_1(S)$ is by automorphisms via the natural embedding $\text{Mod}(S, *) < \text{Aut}(\pi_1(S))$. It follows that χ is invariant under the action of $\text{Mod}(S, *)$, the desired conclusion. \square

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